

# Genomic Signal Analysis summary

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# Genomic Signal Processing (GSP)

- **Introduction to Genomic Signal Processing (GSP)**
- Introduction to Digital Signal Processing (DSP)
- Numerical representation of genomic sequences
- DNA string analysis
- RNA string analysis
- Protein string analysis
- 3D Protein Folding

# Introduction to Genomic Signal Analysis



- ***Bioinformatics*** is a scientific field concerned with the use of computer science for the understanding of biological data (genome).
- Collection, organization and analysis of DNA and protein sequences.

# Introduction to Genomic Signal Analysis



- The genomic information can be found as discrete sequences, whereas most signals in the environment appear to be continuous.
- ***Digital Signal Processing (DSP)*** uses digital processing, like computers and other processors, and mathematics to utilize the information signal and improve it.
- DNA and proteins can be represented as numerical sequences, therefore can be processed by DSP tools.

# Introduction to Genomic Signal Analysis



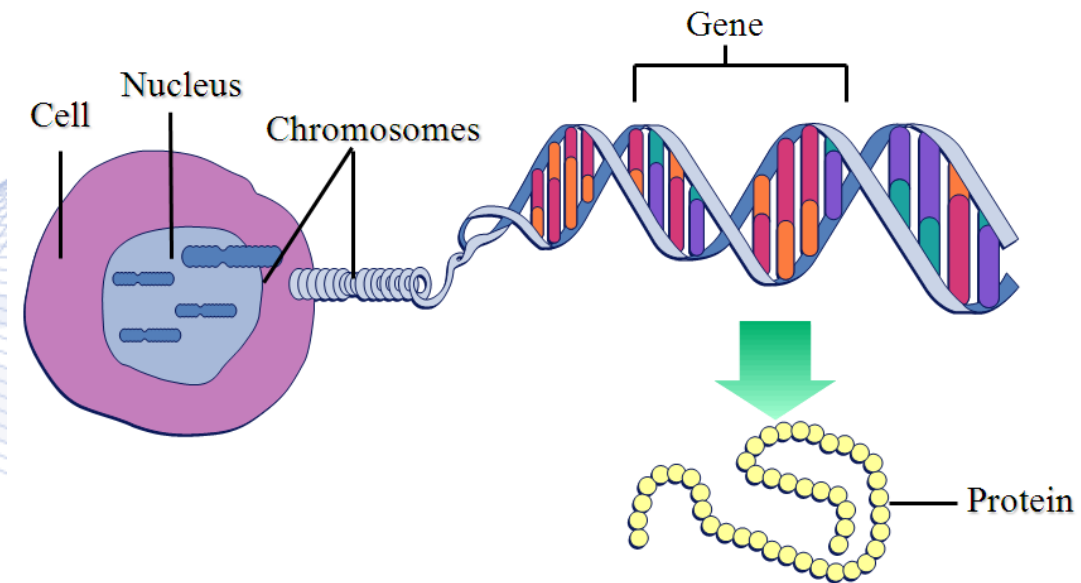
- **Genomic Signal Processing (GSP)** is based on various disciplines and examines the processing of genomic signals.
- In particular, deals with the extraction of information from the gene, the analysis, process and use of the genomic signals produced in order to obtain valuable biological information.
- Has its roots is signal and systems theory.

# Introduction to Genomic Signal Analysis

- All living organisms are consisted of cells.
- **Genome** can be characterized as the organism's entire set of genetic information in a cell.
- The genome encompasses the instructions that are necessary to inherit in order to generate and preserve life and also reproduce.

# Introduction to Genomic Signal Analysis

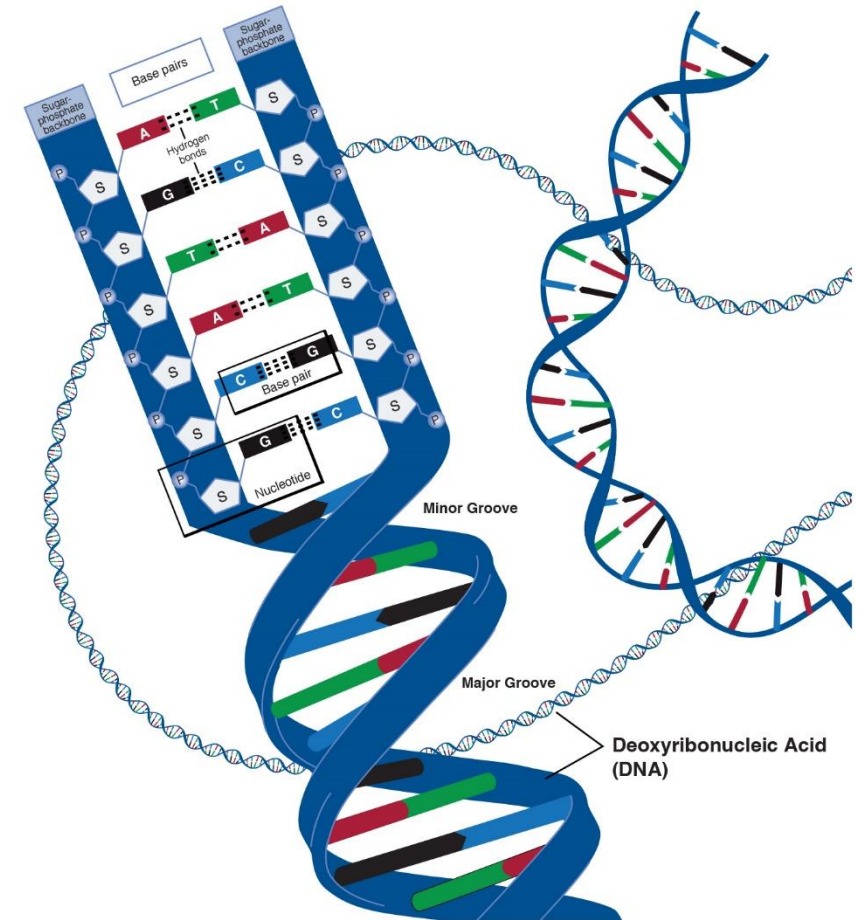
- In Eukaryotic organisms DNA is organized in **chromosomes** (e.g., human have 23 pairs of chromosomes).
- **Gene** is the basic physical and functional unit of heredity.
- Genes are segments of DNA. A particular class of genes are used to create molecules, characterized as proteins.



Reference: [GENE]

# Introduction to Genomic Signal Analysis

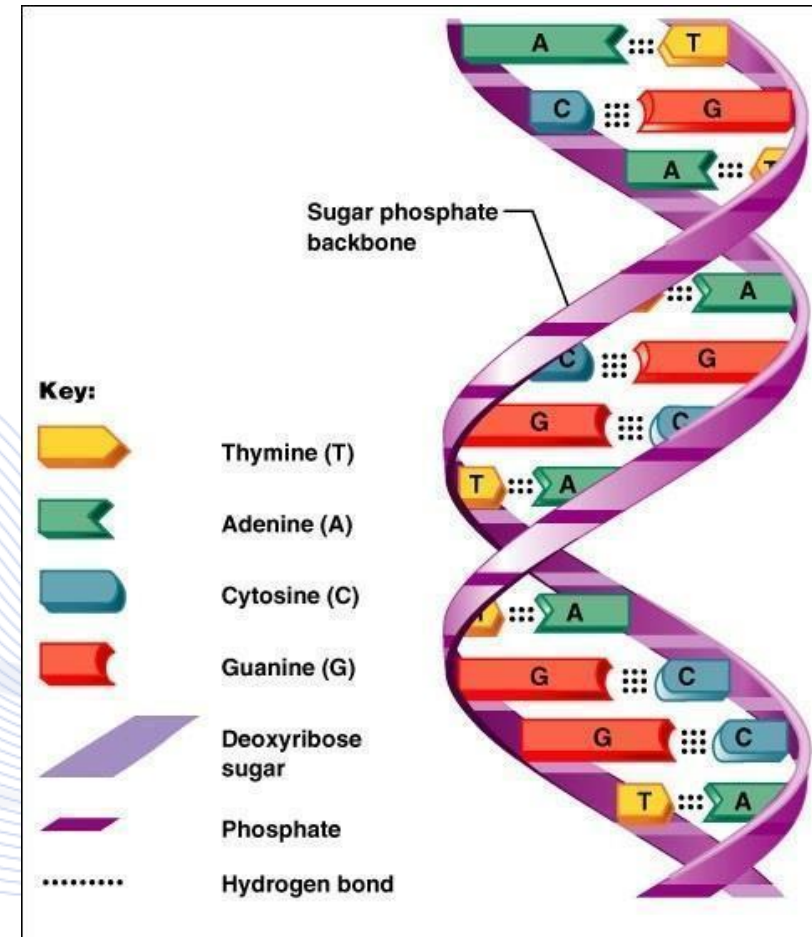
- **DNA (Deoxyribonucleic acid)** is a molecule that carries the genetic instructions for all living organisms, even viruses.
- DNA is a double helix.
- Each strand of the helix is comprised of a sugar (deoxyribose) and phosphate.





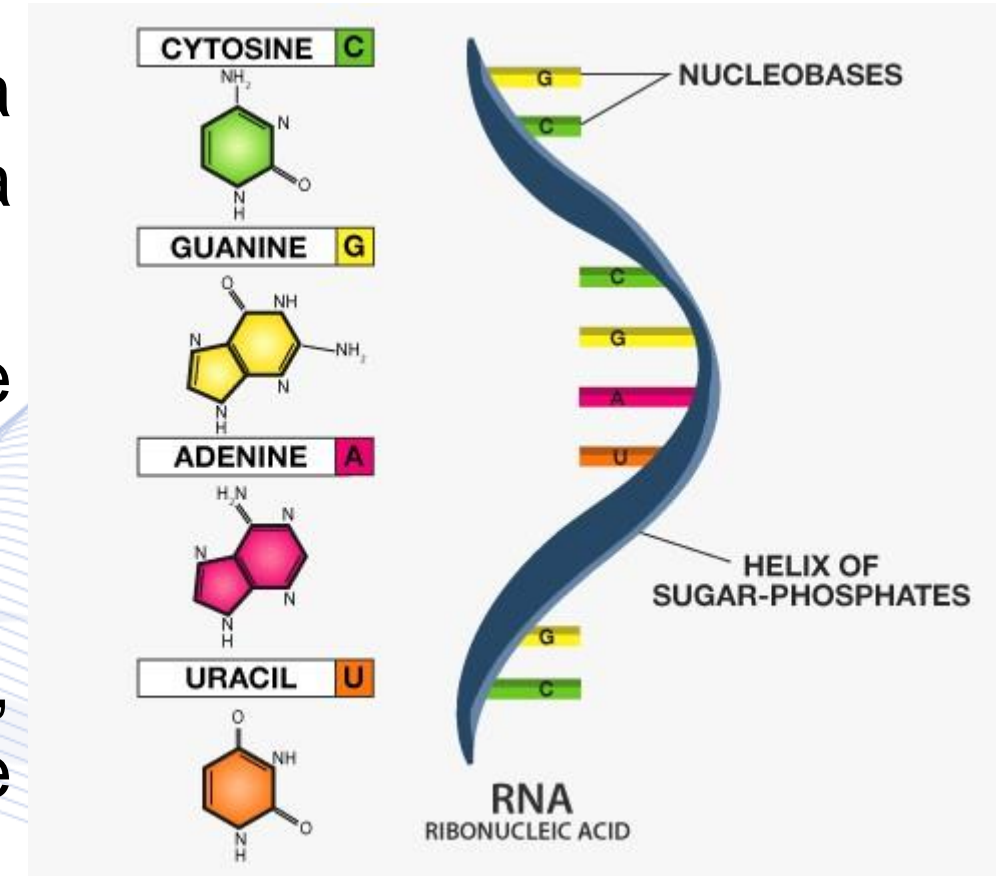
# Introduction to Genomic Signal Analysis

- DNA has four bases, adenine (A), guanine (G), cytosine (C), and thymine (T).
- Adenine always pairs with Thymine and Cytosine with Guanine bonding the two strands.



# Introduction to Genomic Signal Analysis

- **RNA (Ribonucleic Acid)** is a molecule similar to DNA, but has a single strand.
- The sugar in the backbone of the strand is called ribose.
- In every sugar a base is attached, adenine (A), uracil (U), cytosine (C), or guanine (G).



Reference: [RNA]

# Introduction to Genomic Signal Analysis

- RNA is responsible for coding, decoding, regulation and expression of genes.
- There exist three different types of RNA:
  - Messenger RNA (mRNA),
  - Ribosomal RNA (rRNA),
  - Transfer RNA (tRNA).

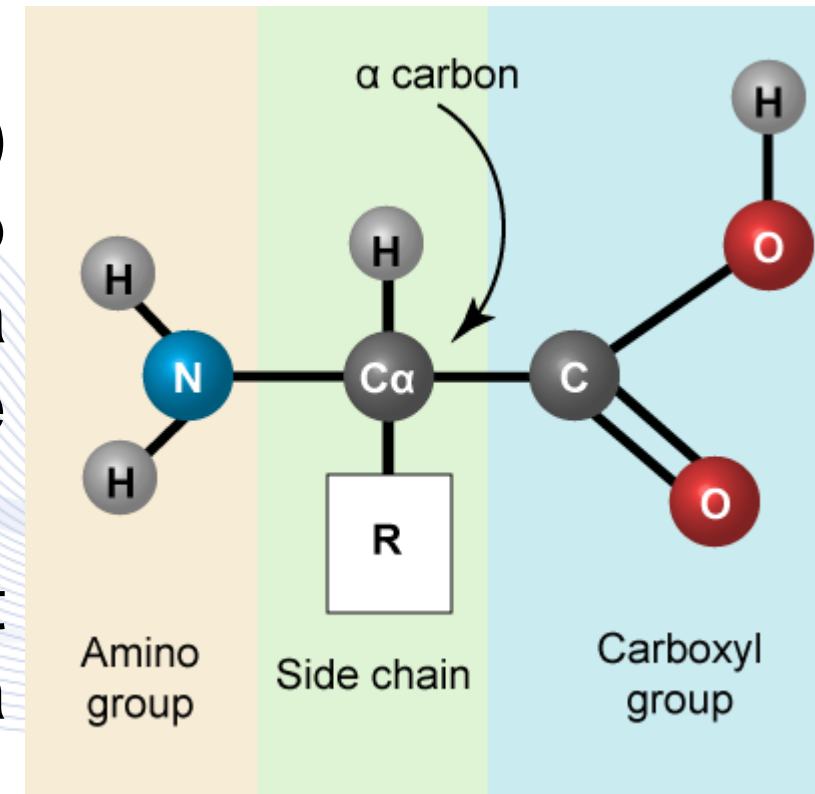
# Introduction to Genomic Signal Analysis



- ***Proteins*** are large molecules that are comprised of hundreds or thousands of smaller units called amino acids.
- They are the building blocks of the cells and are necessary for the structure, function, and regulation of the body's tissues and organs.

# Introduction to Genomic Signal Analysis

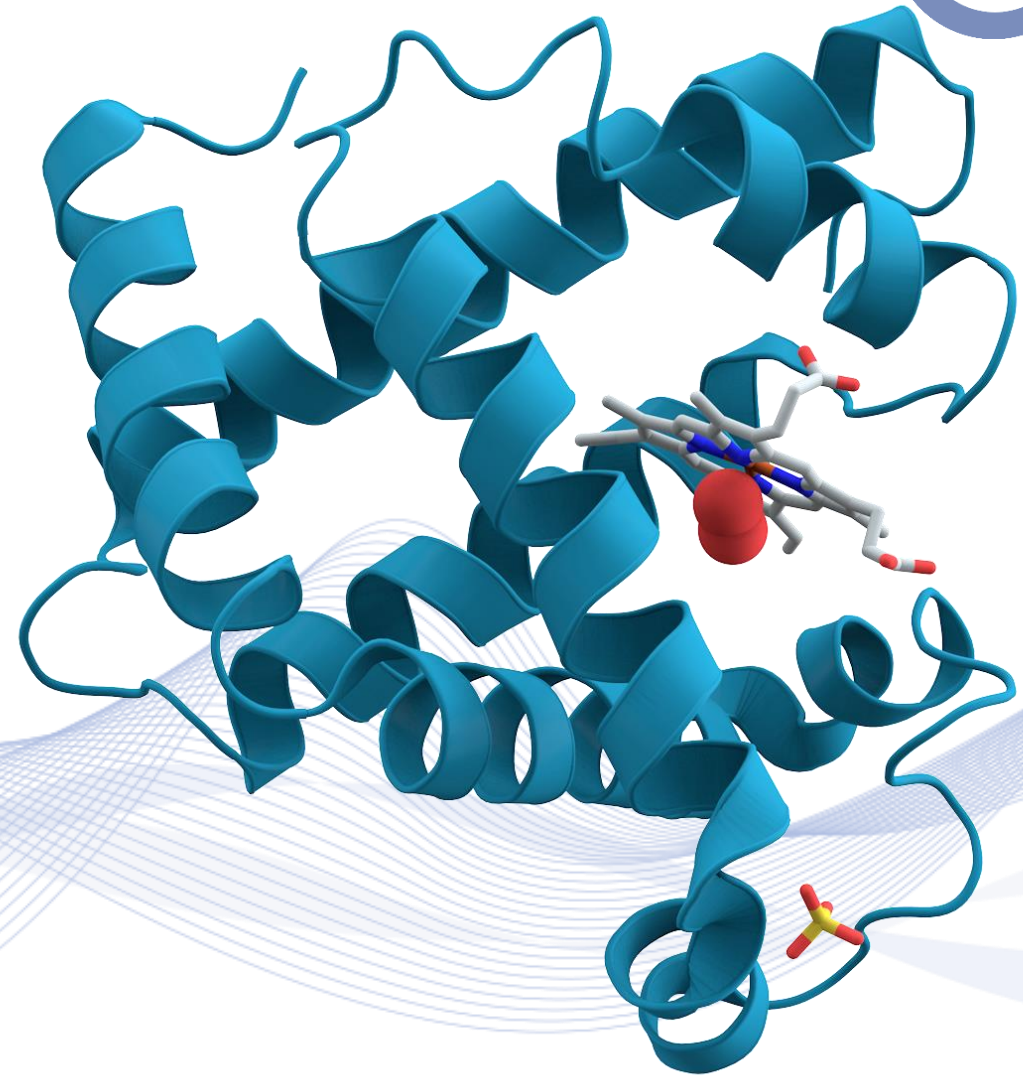
- **Amino acids** are monomers that create proteins.
- Consists of a central carbon atom ( $\alpha$  carbon), attached to an amino group ( $\text{NH}_2$ ), a carboxyl group ( $\text{COOH}$ ), a hydrogen atom and a variable side chain.
- Amino acids are divided into 20 different types and can be merged to form a protein.



Reference: [AMIN]

# Introduction to Genomic Signal Analysis

- The sequence of amino acids give proteins the ability to fold into 3-Dimensional structures and perform their functionalities.



# Introduction to Genomic Signal Analysis



- Functions of protein:
  - Transport and storage (e.g., Ferritin)
  - Messenger, regulate biological processes (e.g., Growth hormone)
  - Antibody, protect from foreign particles (e.g., Immunoglobulin G (IgG))
  - Structural component (e.g., Actin)
  - Enzyme, catalyze chemical reactions (e.g., Phenylalanine hydroxylase).

# Introduction to Genomic Signal Analysis

- The ***central dogma of molecular biology*** is based on two fundamental procedures ***transcription*** and ***translation***.
- In transcription the genetic information is copied into messenger RNA (mRNA), while in translation the mRNA transcripts are responsible for the production of proteins.



Reference: [KHAN]



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# DSP Algorithms for Genomic Sequences

- **Discrete Fourier Transform (DFT)** essentially transforms a discrete, finite function into another function:

$$X(k) = \sum_{n=0}^{N-1} x(n) e^{-i\frac{2\pi}{N}nk},$$

where  $0 \leq n, k \leq N - 1$ .

- Magnitude and phase functions from the frequency spectrum of  $x(n)$  can be used to depict DFT.
- Through DFT we can find periodicity in our data and in addition their relative intensities.

# DSP Algorithms for Genomic Sequences

- The **IIR digital filter** is described by a finite difference equation of the following form:

$$\sum_{k=0}^N a_k y(n - k) = \sum_{k=0}^M b_k x(n - k),$$

where  $x(n)$  is the input and  $y(n)$  output numerical sequence,  $a_k$  and  $b_k$  are numerical coefficients,  $n$  is the sample index, and  $k$  is an integer delay.

# DSP Algorithms for Genomic Sequences

- The ***FIR digital filter***, on the contrary, is described by a convolution operation:

$$y(n) = \sum_{m=0}^{N-1} h(m)x(n - m),$$

where  $h(m)$  is the impulse response of the filter.

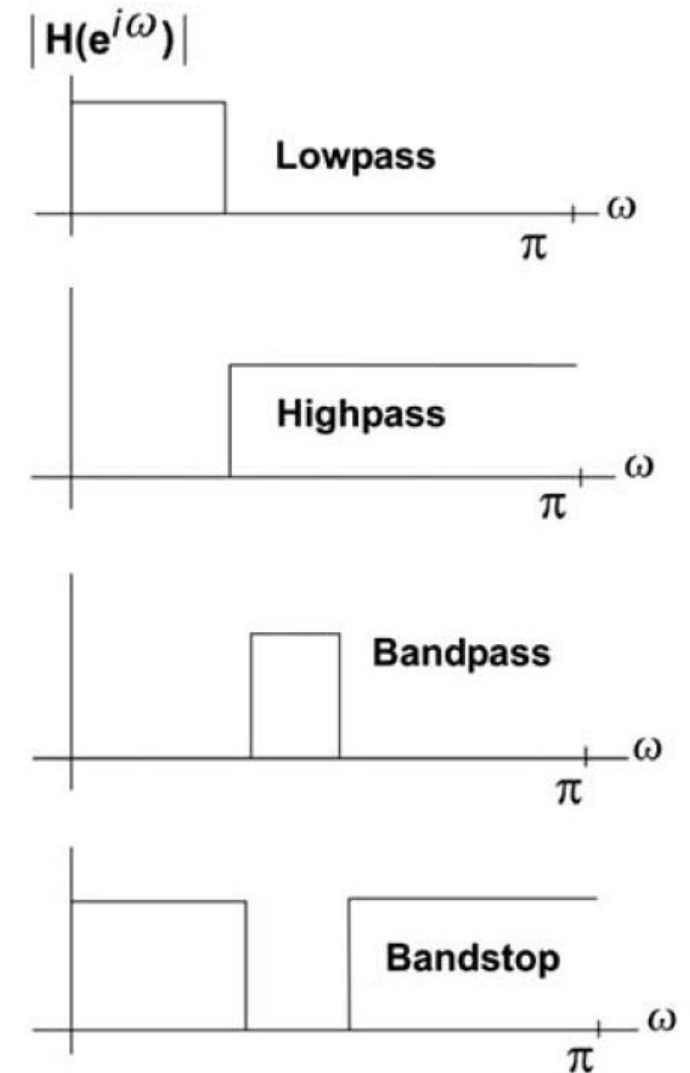
- A bilateral  $Z$  transform operator can be defined as:

$$Z\{x(n)\} = \sum_{n=-\infty}^{\infty} x(n)z^{-n},$$

where  $z$  is a complex variable.

# DSP Algorithms for Genomic Sequences

- There are four basic prototype filter frequency responses for the magnitude based on the frequency band that is transmitted:
  - Lowpass.
  - Highpass.
  - Bandpass.
  - Bandstop.
- A multiband filter can be obtained by combining the above responses.



Reference: [LOR2009]

# DSP Algorithms for Genomic Sequences



- ***Parametric models*** for spectral analysis have more advantages compared to other methods, like DFT.
- The PSD is determined by the parameters of the model and the variance of the input process.

# DSP Algorithms for Genomic Sequences

- **Entropy measures** is a signal processing tool use in genomic sequences in order to measure randomness.
- The first definition by Shannon [SHA1948] for entropy is the following:

$$H(X) = - \sum_{i=1}^N p_i \log p_i,$$

where  $p_i$  are the probabilities of the sequence  $X = \{x_1, x_2, \dots, x_n\}$ .

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# Numerical Representation of Genomic Sequences



- The numerical representation of genomic sequences is important in order to utilize DSP techniques.
- One approach is through *indicator sequences* [VOS1992], where each base is represented with a binary sequence, with 1 suggesting the presence and 0 the absence of that base in a certain location.

# Numerical Representation of Genomic Sequences

- The indicator sequences for the four bases of DNA are the following:

$$x_A[n] = 1000100010101 \dots$$

$$x_T[n] = 0011000100000 \dots$$

$$x_C[n] = 0100001001000 \dots$$

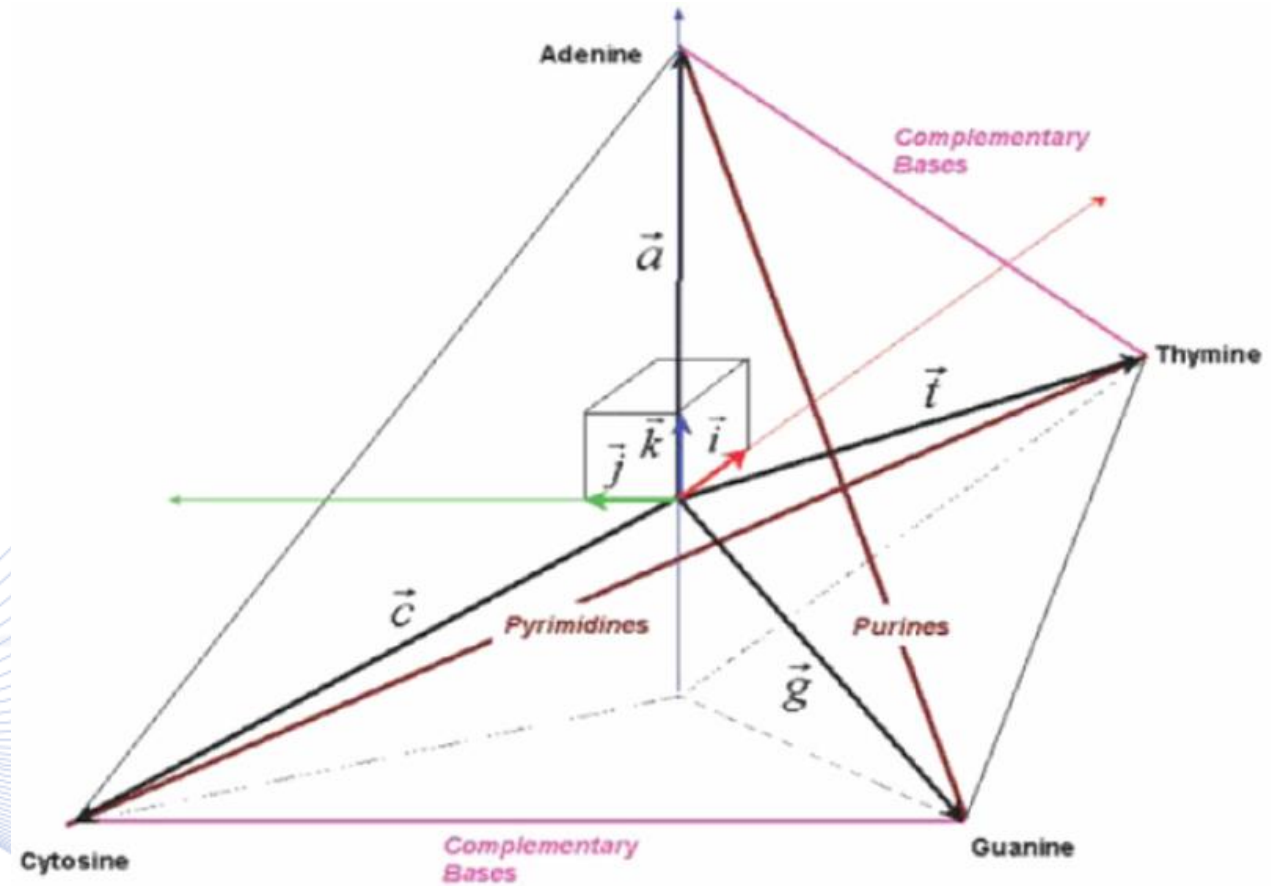
$$x_G[n] = 0000010000010 \dots,$$

where  $n$  is a finite state.

# Geometric Representations

- A ***tetrahedral representation*** of nucleotides is proposed by [CRI2002].
- Each base A, T, G and C is assigned to the vertices of the tetrahedron with the length vectors being symmetric to each other and pointing to the corners.
- It is noted that the vertices of a regular tetrahedron are a subset of the vertices of a cube.

# Geometric Representations

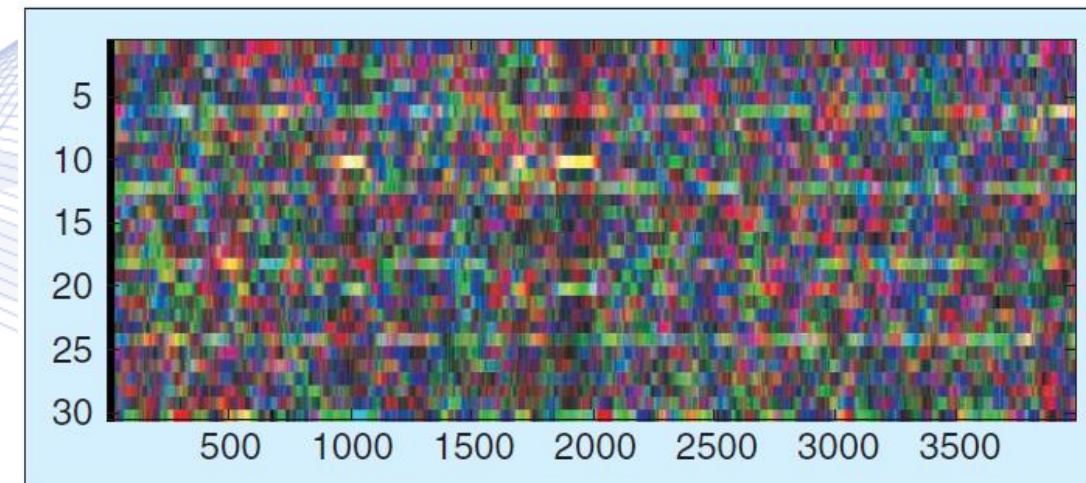


Tetrahedral representation of DNA bases.

Reference: [CRI2002]

# Color spectrograms of DNA

- **Spectrograms** are the main application of tetrahedral representation useful visualization tools that can provide insights about the DNA sequences.
- DNA Spectrograms are defined by [ANA2001] utilizing indicator sequences as follows:
  - Obtain the three magnitudes of STFT that correspond to the primary colors Red, Green and Blue.
  - Superimpose the three STFT matrices.



Reference: [ANA2001]

# Quaternion Representation

- The coordinates of the vertices of the cube are assigned to an integer  $\{\pm 1\}$ .
- The form of the base vectors is the following:

$$\mathbf{a} = \mathbf{i} + \mathbf{j} + \mathbf{k},$$

$$\mathbf{c} = -\mathbf{i} + \mathbf{j} - \mathbf{k},$$

$$\mathbf{g} = -\mathbf{i} - \mathbf{j} + \mathbf{k},$$

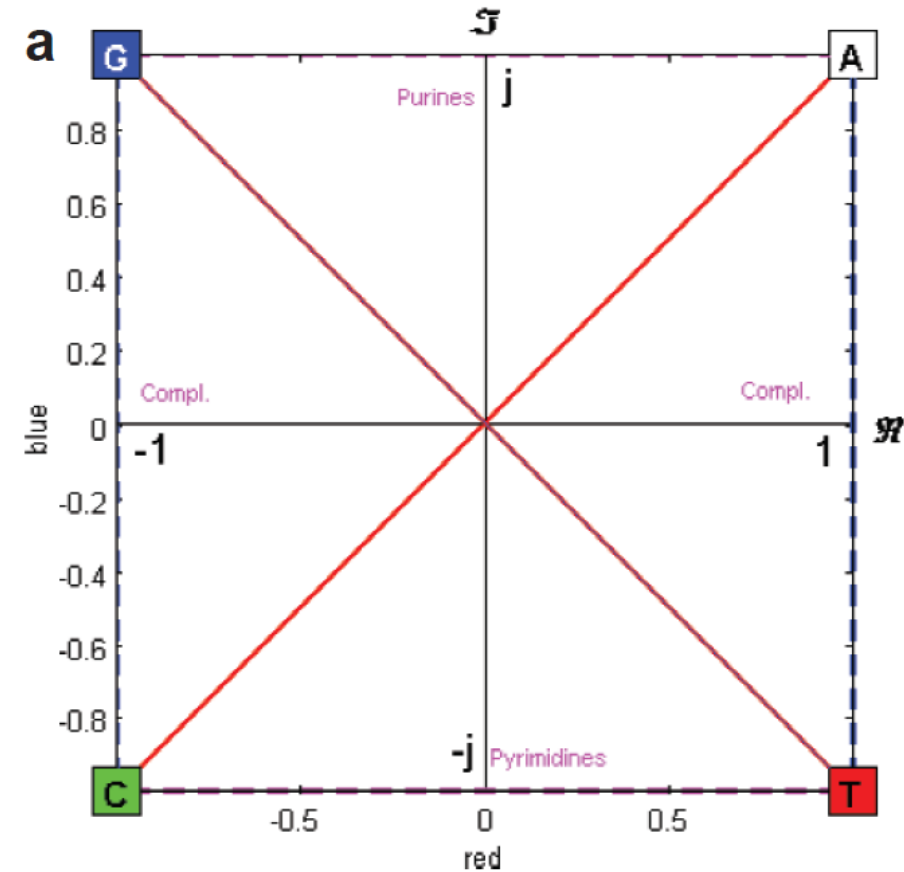
$$\mathbf{t} = \mathbf{i} - \mathbf{j} - \mathbf{k}.$$

- The three primary colors of the RGB system are assigned to the axes of the system, therefore, each point corresponds to a certain hue.

# Complex Representation

- The tetrahedral representation can be reduced to 2D, if the original tetrahedron is projected on a plane.
- There are various ways of choosing projection planes.
- For example, the red-blue ( $xz$ ) plane as shown in figure form the following complex representation of the bases:

$$\begin{aligned} \alpha &= 1 + j, & c &= -1 - j, \\ g &= -1 + j, & t &= 1 - j. \end{aligned}$$



Reference: [CRI2002]

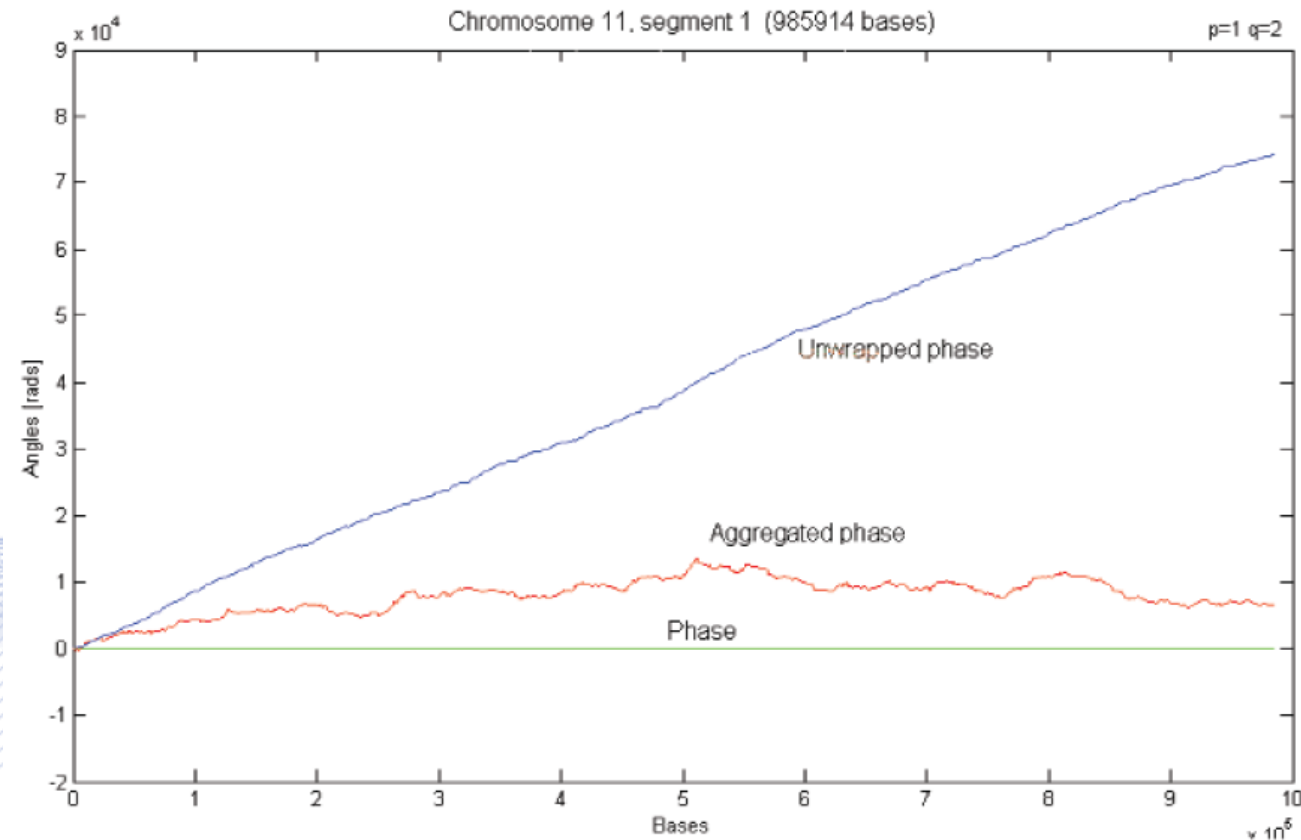
# Geometric Representations

- **Phase** of a complex number is a periodic multi-valued magnitude.
- **Cumulative or aggregated phase** is the sum of the complex base representations starting from the first element of a sequence.
  - Its value is never zero, but drifts between negative and positive values suggesting the relative frequencies of bases.



# Geometric Representations

- **Unwrapped phase** is the corrected phase of a sequence of complex numbers.
  - It can be calculated by adding or subtracting  $2\pi$  to or from the phase of the new element.
  - Its value suggests the relative frequencies of the transitions between the bases.



Reference: [CRI2002]

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# Long range correlations in DNA

- A ***long range correlation*** among base pairs of DNA sequences both for coding and non-coding regions exists.
- The autocorrelation for each indicator base was given in the section of parametric models.
- The  $1/f$  behavior indicates a slowly decaying term in the autocorrelation sequence, which holds for the term long range correlation.

# Long range correlations in DNA

- The existence of the  $1/f$  behavior in the DNA spectrum might be explained by the duplication-mutation model.
- Li [LI1997] studies the correlations among the four bases, e.g.:

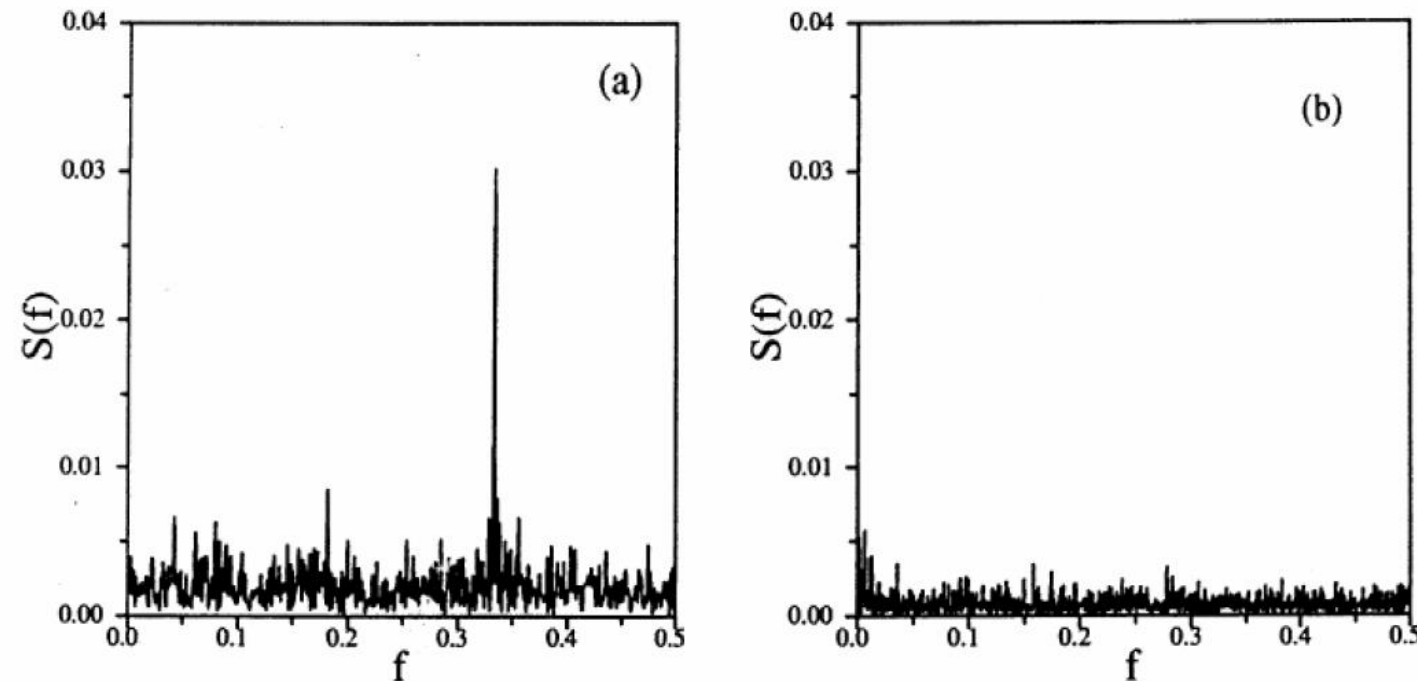
$$r_{AG}(k) = \sum_n x_A(n)x_G(n-k).$$

- This results in the following correlations:

$$r_{AG}(k) \approx r_{CT}(k), \quad r_{AA}(k) \approx r_{TT}(k), \quad r_{CC}(k) \approx r_{GG}(k).$$

# Identification of protein coding DNA regions

- One of the first approaches to implement Fourier analysis in gene prediction using 3-base periodicity was proposed by [TIW1997]:



Reference: [TIW1997]

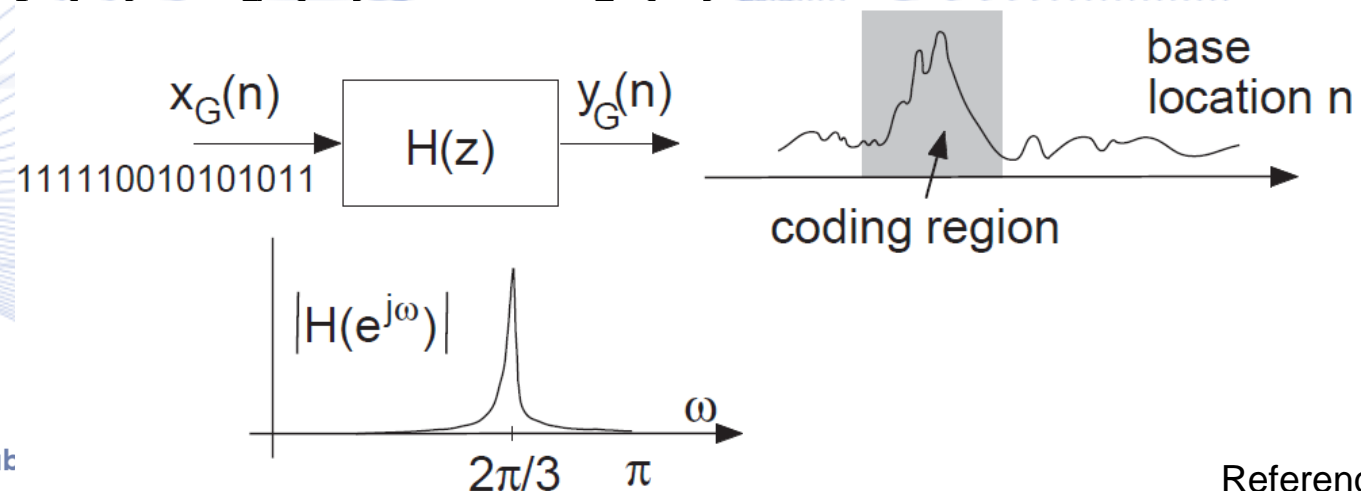
Power Spectral Density of (a) coding and (b) non-coding regions.

# Identification of protein coding DNA regions

- The plot of the output  $Y(n)$  can help identify coding regions:

$$Y[n] = |y_A(n)|^2 + |y_T(n)|^2 + |y_G(n)|^2 + |y_C(n)|^2.$$

- $y_A(n)$ ,  $y_T(n)$ ,  $y_G(n)$  and  $y_C(n)$  are the output sequences, after applying the digital filter in the indicator sequences  $x_A(n)$ ,  $x_T(n)$ ,  $x_G(n)$  and  $x_C(n)$  and  $n$  is the base location.



# Signal Extraction for DNA microarray

- Gene expression is usually calculated by the amount of mRNA expressed in genes.
- ***DNA microarray*** is a powerful tool that can be utilized to document gene expression in various levels as a function of time.
- Microarray technology includes complementary DNA (cDNA) and oligonucleotide.

# Signal Extraction for DNA microarray

- Signal processing techniques, like normalization, clustering and denoising are used in the analysis of data obtained by microarray DNA.
- In the work by [ALT2000] microarrays are expressed using a matrix:

$$\mathbf{X} = [\mathbf{x}_{nm}], \quad 0 \leq n \leq N - 1, \quad 0 \leq m \leq M - 1,$$

with the columns being the expression levels of  $N$  genes and the rows the expression levels of a single gene at different times.



# Alignment methods

- Another method for genomic analysis are ***alignment methods***, that determine the distances between different sequences so as to discover similarities in two or more sequences.
- The comparison of two sequences is called ***Pairwise Sequence Alignment (PSA)***, while when more than two are compared the process is called ***Multiple Sequence Alignment (MSA)***.

# Phylogenetic analysis

- ***Phylogenetic analysis*** is the most important tool and application of PSA for DNA analysis.
- ***Phylogenetic trees*** give the ability to classify DNA sequences, organize information about biological diversity and provide information regarding evolution.
- Dichotomous trees can be used to depict the separation between organisms.
  - Branches that are close enough show similarity between organisms, whereas branches that are far away show large differences.

# Machine Learning in Genomic Signal Analysis

- **Classification** has been vital in genomic signal analysis, for example in the analysis of microarray gene expression data.
- Classifiers provide information about the state of a cell from an expression vector and output a class label called phenotype to distinguish a healthy from a non-healthy, the type of cancer etc.
- Methods for classification of species belong into the two categories **alignment-based** and **alignment-free**.

# Classification

- ***Molecular Evolutionary Genetics Analysis (MEGA)*** is an alignment-based software proposed by [KUM2016].
- It provides a statistical analysis of gene sequences and focuses on evolutionary relationships and patterns of DNA and protein evolution.
- It is considered the state of the art software for alignment-based methods.

# Classification

- An alignment-free method that combines supervised learning with DSP techniques is proposed by [RAN2019], called ***Machine Learning with Digital Signal Processing (ML-DSP)***.
- It is a tool for genomic DNA sequence classification that achieved above 97% accuracy in classifying genomes from different species.

# Classification

- The feature vector of ML-DSP is based on the Pearson Correlation Coefficient (PCC) and is derived from the DFT magnitude spectra of the discrete numerical sequences.
- ML-DSP outperforms the state of the art alignment-based software MEGA7 regarding time processing.

# Clustering

- **Clustering** has been particularly used for gene expression microarrays and as similarity computation methods.
- Clustering uses the expression vector to cluster data points into subsets.
- Clustering algorithms applied to genomic data are k-means, fuzzy c-means, self-organizing maps, hierarchical clustering, and model-based clustering.

# Genomics

- Genomics include two main categories:
- ***Structural genomics***: Is comprised of genome sequencing, genome organization, EST sequencing, physical mapping, molecular cytogenetics, and linkage analysis.
- ***Functional genomics***: Is comprised of gene expression technologies, forward and reverse genetics, and comparative genomics.



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# RNA string analysis

- ***Transcriptome*** is defined as the set of RNA molecules produced through transcription.
- Transcriptome constantly changes as it is affected from many factors, like the conditions of the environment.
- It is a powerful tool to define the basis of genome, using mRNA, non-coding RNA and small RNA.

# RNA string analysis

- The initial goal is to define the transcriptional structure of genes, posttranscriptional modifications, splicing patterns and differential expression analysis.
- The procedure of transcription includes:
  - mRNA, that is a ladder from gene to proteins.
  - Non-coding RNA (cRNA), that is in charge of control of gene expression.
- The above knowledge gives insights to the biological activity of gene.

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# Protein string analysis

- A **proteome** is characterized as the entire set of proteins expressed in an organism.
- **Proteomics** is a scientific field that focuses on proteomes and can be divided into two categories:
  - Proteome analysis that focuses on comparisons to identify and localize proteins.
  - 3D protein structure.

# Sequence alignment

- As mentioned earlier a powerful tool for analysis is sequence alignment.
- The comparison of primary amino acid sequences can provide information about their distance and correlation.
- The most popular algorithm used is BLAST and an improvement of it is Psi-BLAST (Position-Specific Iterated BLAST).

# Phylogeny

- Again phylogenetic trees can be comprised by aligning their sequences.
- One common method to estimate protein distances is the minimum distance.
- Phylogenetic trees, through analysis of the changes in sequences, provide information about homologous proteins, the groups created due to similarity and their evolution, as well as their functional diversity.

# Secondary structures

- Defining the secondary structure of proteins follows sequence analysis.
- The elements of secondary structure can be classified to alpha helices, beta strands and undefined structure sequences (coils).
- In order to predict the secondary structure there are three different types of methods.



# Structure Alignment

- Structure alignment algorithms are comprised by the following steps:
  - Measure the center of mass for every structure.
  - Overlap the two structures (center of mass should be equal).
  - Measure the angles between residues using the center of mass as starting point.
  - Rotate one of the two structures using the median angle difference.
  
- An adequate step for more compound structure alignment is to create a distance score matrix.

# Protein-Protein interactions

- Most of the proteins do not behave as individual units. They interact with other proteins to create more complex forms.
- The interactions between proteins are not just restricted in creating physical binding.
- Proteins can also be involved indirectly in large protein groups, in the regulation between them and share a substrate in a metabolic pathway.

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# 3D Protein Folding

- 3D protein structure is vital as it indicates the functionality of the protein.
- The protein folding problem, which is the identification of the shapes proteins fold into has been a challenge for nearly 50 years.
- The prediction of this structure gives insights into developing treatments for diseases and discover enzymes for many processes.

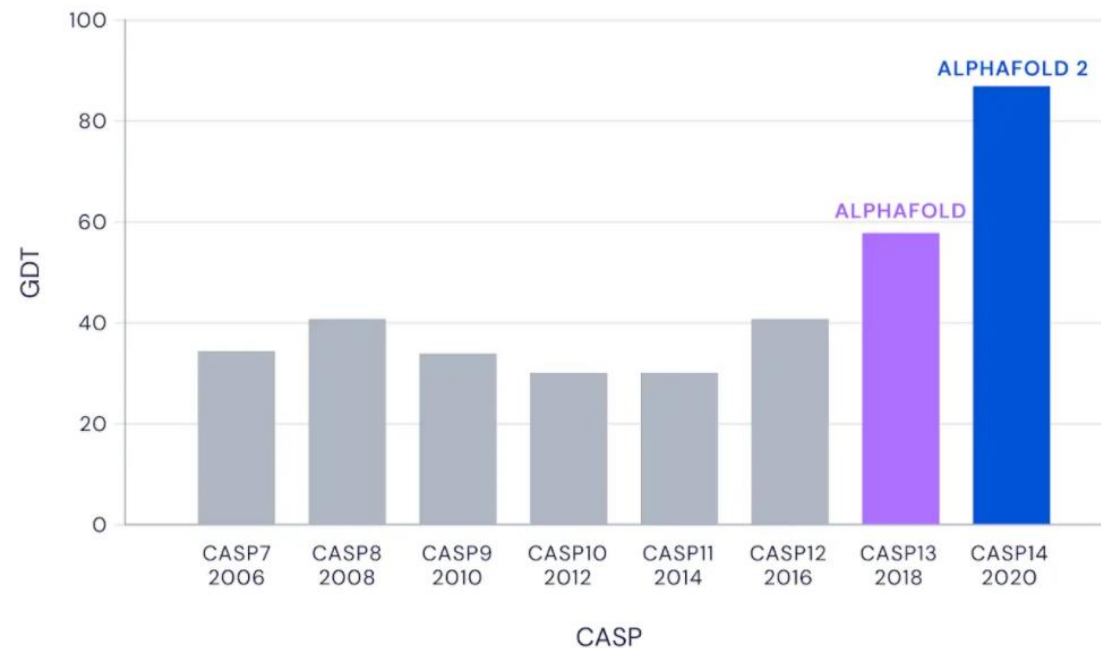
# 3D Protein Folding

- ***AlphaFold2*** has been acknowledged as the state of the art artificial intelligence system that solved this major challenge in the 14<sup>th</sup> CASP (Critical Assessment of protein Structure Prediction) competition.
- The metric used by CASP is GDT (Global Distance Test ) that measures the percentage of amino acid residues that rely within a threshold distance from the correct position.

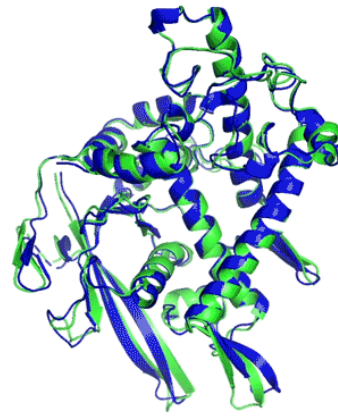
# 3D Protein Folding

- AlphaFold2 achieved a median score of 92.4 GDT totally for all targets.

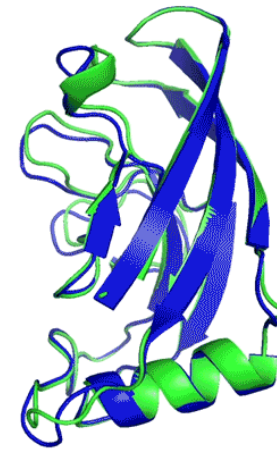
Median Free-Modelling Accuracy



# 3D Protein Folding



T1037 / 6vr4  
90.7 GDT  
(RNA polymerase domain)

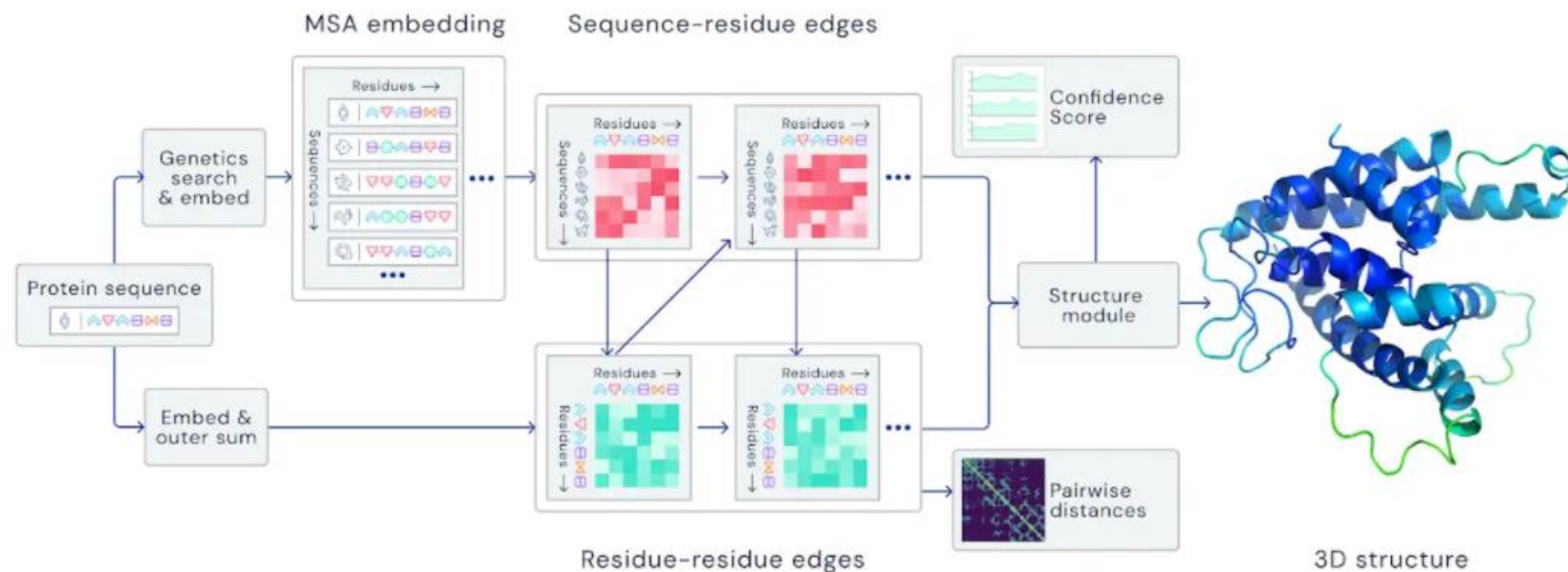


T1049 / 6y4f  
93.3 GDT  
(adhesin tip)

- Experimental result
- Computational prediction

# 3D Protein Folding

- AlphaFold2 is an attention-based neural network system that is trained end-to-end.





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# Q & A

**Thank you very much for your attention!**

**More material in  
<http://icarus.csd.auth.gr/cvml-web-lecture-series/>**

**Contact: Prof. I. Pitas  
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